

ESTTA Tracking number: **ESTTA725359**

Filing date: **02/05/2016**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

Application Serial No.	86420447
Applicant	Babson Capital Management LLC

Notice of Appeal

Notice is hereby given that Babson Capital Management LLC appeals to the Trademark Trial and Appeal Board the refusal to register the mark depicted in Application Serial No. 86420447.

Applicant has filed a request for reconsideration of the refusal to register, and requests suspension of the appeal pending consideration of the request by the Examining Attorney.

The refusal to register has been appealed as to the following class of goods/services:

- Class 036. First Use: 0 First Use In Commerce: 0
All goods and services in the class are appealed, namely: Financial Services, namely, advice relating to investments and commodity investments; assuming financial risk of others by entering into options and swaps; brokerage of shares or stocks and other securities; capital investment consultation; cash management; commercial lending services; credit risk management; equity capital investment; evaluation of the credit worthiness of companies and private individuals; financial analysis and consultation; financial due diligence; financial investments in the fields of securities, leveraged bank loans, futures, derivatives and mortgage loans, mezzanine loans, equity participation loans, real estate, mortgage backed securities, collateralized debt obligations, asset based loans, equipment loans and leases, structured loans, investment funds, hedge funds, and securitizations; financial management; financial portfolio management; financial research; financial risk management; financial services in the nature of an investment security; financial services, namely money lending; financial services, namely, issuance and management of certificates backed by loan portfolios which are subsequently managed to ensure the integrity of the certificates; financial services, namely, a total portfolio offering for high net worth clients consisting of both separate accounts and mutual funds for equity and fixed income investments; financial valuation of personal property and real estate; financing of industrial activities; financing of purchases; financing services; forming, offering and managing limited partnerships, trusts and limited liability companies; fund investment consultation; funds investment; financial investment services, namely, fund of funds and fund of hedge funds investment services, guarantee assurance underwriting; investment advice; investment consultation; investment management; investment of funds for others; investment services, namely asset acquisition, consultation, development and management services. leveraged buy outs and investments in financially distressed or underperforming companies; loan financing; maintaining escrow accounts for investments; management of a capital investment fund; management of portfolios comprising securities; management of portfolios of transferable securities; mutual fund investment; private equity investment; project financing; providing loans secured by commercial paper; providing temporary loans; providing working capital; venture capital funding to emerging and start-up companies

Respectfully submitted,
/Catherine H. Stockell/
02/05/2016

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